

Automorphisms of models of PA: The neglected cases.

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IN MEMORY OF HENRYK KOTLARSKI 1949-2008



OVERVIEW

- I Models of PA in the 1980s
- II Automorphisms of models of PA
- III Automorphism groups of models of PA
- IV The neglected cases. What is left to be done?

MODEL THEORY OF PA IN 1980s

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- ▶ Harnik, Knight, Marker, Nadel, Pabion, Richard, Solovay: Turing degrees. Scott sets. Presburger Arithmetic. Reducts. Other...

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Let me begin with the pre-history of the subject. The question whether PA has a model with a nontrivial automorphism (i.e., such that its elements cannot be individualized) was due to Hasenjäger. It was solved positively by Ehrenfeucht and Mostowski [3]. Their result and the idea of indiscernibility is nowadays so well known that Hodges [5] writes “today model theorists use it at least once a week,” so let me omit the statement of the Ehrenfeucht-Mostowski Theorem.

THREE PAPERS ON EXTENDING AUTOMORPHISMS

1. *Results on automorphisms of recursively saturated models of PA*, Fund. Math. 129 (1), 9-15 (1988).
2. *On extending of automorphisms of models of Peano arithmetic*, Fund. Math. 149 (3), 245-263 (1996).
3. *More on extending automorphisms of models of Peano arithmetic*, to appear in Fund. Math.

AUTOMORPHISMS OF COUNTABLE RECURSIVELY SATURATED STRUCTURES

Let \mathfrak{M} be countable and recursively saturated.

Proposition

For every infinite $A \in \text{Def}(\mathfrak{M})$ there are $a, b \in A$ such that $a \neq b$ and $\text{tp}(a) = \text{tp}(b)$.

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For all $a, b \in \mathfrak{M}$, if $\text{tp}(a) = \text{tp}(b)$, then there is $f \in \text{Aut}(\mathfrak{M})$ such that $f(a) = b$.

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Proposition

$|\text{Aut}(\mathfrak{M})| = 2^{\aleph_0}$.

WHAT IS SPECIAL ABOUT PA? EHREFEUCHT-GAIFMAN LEMMA

Theorem

If $M \models \text{PA}$, $f \in \text{Aut}(M)$, and $f(a) \neq a$, then $f(a) \notin \text{Scl}(a)$ ¹.

¹ $\text{Scl}(a)$ is the definable closure of a in M .

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$$\mathfrak{a}(X) > \aleph_0 \Rightarrow \mathfrak{a}(X) = 2^{\aleph_0} \text{ (Kueker-Reyes)}$$

$\alpha(X)$ IN MODELS OF PA

Assumption from now on: $M \models \text{PA}$ is countable and recursively saturated

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Theorem (Schmerl)

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$\alpha(X)$ IN MODELS OF PA

Assumption from now on: $M \models \text{PA}$ is countable and recursively saturated

Theorem (Schmerl)

- $\forall X \subseteq M, \alpha(X) \in \{1, \aleph_0, 2^{\aleph_0}\}$
- $\forall X \in \text{Def}(M) \setminus \text{Def}_0(M), \alpha(X) = \aleph_0.$

MANY AUTOMORPHIC IMAGES

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$(M, X) \models \text{PA}^*$ and $X \notin \text{Def}(M) \Rightarrow \alpha(X) = 2^{\aleph_0}$

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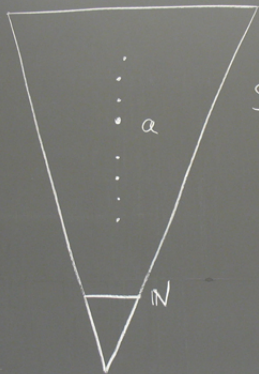
$(M, X) \models \text{PA}^*$ and $X \notin \text{Def}(M) \Rightarrow \alpha(X) = 2^{\aleph_0}$

Theorem

Let $I \subseteq_{\text{end}} M$. Then $\alpha(I) < 2^{\aleph_0}$ iff $\exists a \in M$

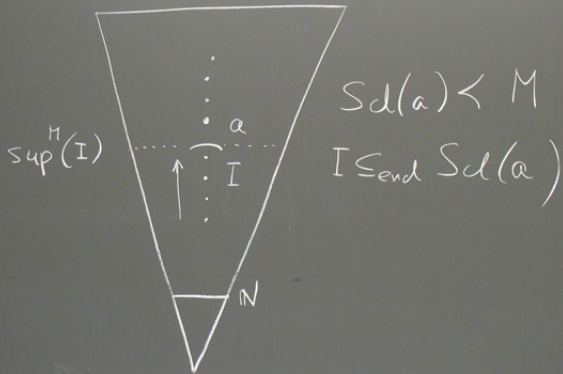
$$I = \sup[\text{Scl}(a) \cap I] \text{ or } I = \inf[\text{Scl}(a) \cap (M \setminus I)]$$

$$M = PA$$



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Theorem (RK, Kotlarski, independently Tzouvaras)

$f \in \text{Aut}(M)$ and $f \neq \text{id} \Rightarrow |\{f^g : g \in \text{Aut}(M)\}| = \alpha(f) = 2^{\aleph_0}$

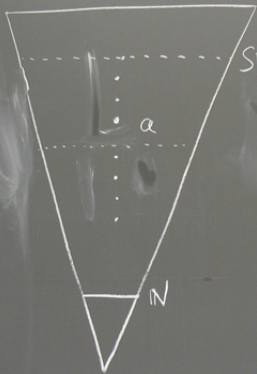
MOVING GAPS LEMMA

Theorem (Kotlarski)

If $f \in \text{Aut}(M) \setminus \{id\}$, then for arbitrarily large $a \in M$,

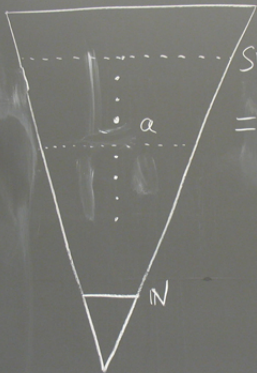
$$f(a) \notin \text{gap}(a).$$

$$M = PA$$



$$\text{Sup}(Scl(a)) = M(a)$$

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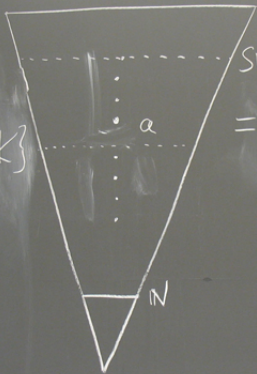


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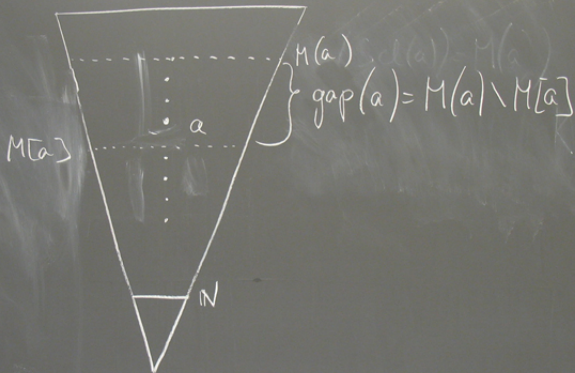
$$\bigcup \{K \text{ end } M : a \in K\}$$
$$M[a]$$



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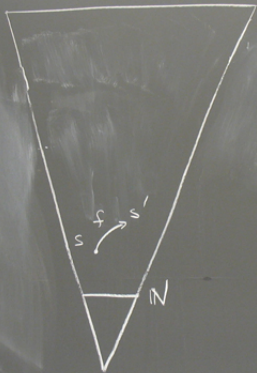
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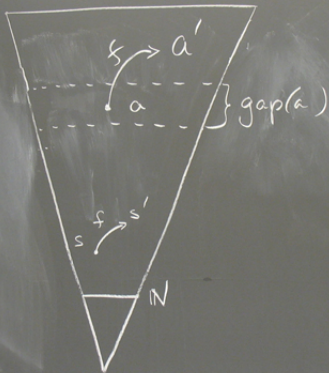


$$M \cong PA$$

$$f \in \text{Aut}(M)$$



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$f \in \text{Aut}(M)$

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If $p(v)$ is an unbounded type realized in M , $f \in \text{Aut}(M) \setminus \{id\}$, then there is $a \in p^M$ such that $f(a) \neq a$.

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Corollary

If $K \prec M$ and $K \cap \text{gap}(a) \neq \emptyset$ for all $a \in M$, then $K = M$.

THE AUTOMORPHISM GROUP

$M \models$ PA countable and recursively saturated, $G = \text{Aut}(M)$.

Theorem (Kaye)

If $H \triangleleft G$ is closed then $H = G_{\{I\}}$ for some invariant $I \subseteq_{\text{end}} M$.

$$M \models PA$$

Nonstandard
definable
elements



$M(0)$
 2^{\aleph_0}

invariant
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Theorem (Kaye, RK, Kotlarski)

$\text{Aut}(\mathbb{Q}, <) \subseteq G \subseteq_{\text{dense}} \text{Aut}(\mathbb{Q}, <)$, but $G \not\cong \text{Aut}(\mathfrak{M})$ for any \aleph_0 -categorical countable \mathfrak{M} , in particular $G \not\cong \text{Aut}(\mathbb{Q}, <)$.

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Theorem (Schmerl)

Let \mathfrak{A} be a countable linearly ordered structure. There is $K \prec_{\text{end}} M$ such that $G_{\{K\}}/G_{(K)} \cong \text{Aut}(\mathfrak{A})$.

$$G\{K\} / G(I)$$

M

K

$f \in \text{Aut}(M)$

$f|_K \in \text{Aut}(K)$

K

← end M

inv
in gaps

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Theorem (RK, Kotlarski)

For every $K \prec_{\text{end}} M$

$$|\{g \in \text{Aut}(K) : \forall f \in G_{\{K\}} g \neq f \upharpoonright K\}| = 2^{\aleph_0}$$

i.e. many automorphisms of K do not extend to M .

ARITHMETIC SATURATION

Definition

M is *arithmetically saturated* iff $\text{SSy}(M)$ is arithmetically closed.

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If $\mathfrak{X} \subseteq \mathcal{P}(\mathbb{N})$ and $|\mathfrak{X}| = \aleph_0$, then

$$\exists M \models \text{PA}, \mathfrak{X} = \text{SSy}(M) \Leftrightarrow (\omega, \mathfrak{X}) \models \text{WKL}_0.$$

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Proposition

If M is recursively saturated, then M is arithmetically saturated iff $(\omega, \text{SSy}(M)) \models \text{ACA}_0$.

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Theorem (Enayat)

M is arithmetically saturated iff for each $K \prec M$, then there is $f \in G$ such that $\text{fix}(f) \cong K$.

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Theorem (RK, Schmerl)

If M_0 and M_1 are arithmetically saturated and $M_0 \equiv M_1$, then

$$\text{Aut}(M_0) \cong \text{Aut}(M_1) \text{ iff } SSy(M_0) = SSy(M_1).$$

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Theorem (Kaye, RK, Kotlarski)

If at least one of M_0 and M_1 is arithmetically saturated, and one is a model of TA, and the other is not; then

$$\text{Aut}(M_0) \not\cong \text{Aut}(M_1).$$

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Theorem (Nurkhaidarov)

There are countable arithmetically saturated M_0, M_1, M_2, M_3 such that for all $i, j < 4$, $\text{SSy}(M_i) = \text{SSy}(M_j)$ and for $i \neq j$

$$\text{Aut}(M_i) \not\cong \text{Aut}(M_j).$$

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Theorem (Nurkhaidarov)

If M_0 and M_1 are arithmetically saturated and $\text{Aut}(M_0) \cong \text{Aut}(M_1)$; then for every $n < \omega$

$$(\omega, \text{SSy}_0(M_0)^2) \models \text{RT}_2^n \text{ iff } (\omega, \text{SSy}_0(M_1)) \models \text{RT}_2^n.$$

THE FOUR MODELS OF NURKHAIDAROV

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4. $M_3 \models TA$.

THE FIRST NEGLECTED CASE: NOT ARITHMETICALLY SATURATED MODELS

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Question

Let T be a completion of PA. Are there recursively saturated but not arithmetically saturated models M_0 and M_1 of T such that $\text{Aut}(M_0) \not\cong \text{Aut}(M_1)$?

Psychologists assure us that tall people command more attention and respect than short ones. In an antropomorphic field as logic, it would follow that taller concepts excite more imagination than shorter ones. Thus, more is written about tall end extensions than stubby cofinal ones, and asked for a preference between tall and short models, most logicians would take the tall choice. Such a high-minded strategy might work well in the short run; but in the long run we must pay everything its due.

*C.Smoryński
Cofinal Extensions of Nonstandard Models of Arithmetic, 1981*

THE SECOND NEGLECTED CASE: SHORT MODELS

Notation: $M(a) = \text{sup}(\text{Scl}(a)) = \bigcap \{K \prec_{\text{end}} M : a \in K\}$

$$G(a) = \text{Aut}(M(a))$$

$$G \upharpoonright_{M(a)} = \{f \upharpoonright_{M(a)} : f \in G_{\{M(a)\}}\}$$

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Question

Is $G \upharpoonright_{M(a)}$ maximal in $G(a)$?

DIVERSITY AMONG SHORT MODELS

Theorem (Kotlarski)

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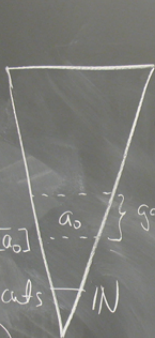
DIVERSITY AMONG SHORT MODELS

Theorem (Kotlarski)

There are $a_i : i < \omega$ such that for $i \neq j$, $M(a_i) \not\cong M(a_j)$.

Theorem (Shochat)

*There are a_0, a_1 such that $G(a_0) \not\cong G(a_1)$ **as topological groups**.*



No $G(a_0)$
invariant cuts
in $gap(a_0)$

M

$gap(a_1)$



M

$M(a_1) =$
 $\bigcup \{I \subseteq_e M(a_1) :$
 $I \text{ is } G(a_1) \text{ invariant}\}$

TOPOLOGY ON $G(a)$

Theorem (Shochat)

There are a_0, a_1 such that $G(a_0) \not\cong G(a_1)$ *as topological groups*.

- ▶ $\bigcap \{ H \triangleleft G(a_0) : H \text{ is closed} \} = G_{\{M[a_0]\}}$
- ▶ $\bigcap \{ H \triangleleft G(a_1) : H \text{ is closed} \} = \{id\}$

DIGRESSION: STRONG NON-RECONSTRUCTION RESULT

Theorem (Schmerl)

Let \mathfrak{A} be an infinite linearly ordered structure. There is $M \models \text{PA}$, $|\mathfrak{A}| = |M|$ (and M is not recursively saturated) such that $\text{Aut}(\mathfrak{A}) \cong \text{Aut}(M)$.

THE THIRD NEGLECTED CASE: EXTENDING AUTOMORPHISMS TO COFINAL EXTENSIONS

Theorem (RK, Kotlarski)

If $K \prec_{\text{end}} M$, $f \in \text{Aut}(K, \text{Cod}(M/K))$, then³ $f = g \upharpoonright K$ for some $g \in \text{Aut}(M)$.

³With minor restrictions.

$$M = PA$$



$$\text{Cod}(M/I) = \{X \cap I : X \in \text{Def}(M)\}$$

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Theorem (RK, Kotlarski)

If $K \prec_{\text{cof}} M$, $f \in \text{Aut}(K, \text{Cod}(M/K))$, and the extension $K \prec_{\text{cof}} M$ has the *the description property*, then $f = g \upharpoonright K$ for some $g \in \text{Aut}(M)$.

⁴With minor restrictions.

THE DESCRIPTION PROPERTY

Definition

The extension $K \prec_{\text{cof}} M$ has the description property if for every $a \in M \setminus K$ there is a coded in M nested sequence $\langle A_i : i < \omega \rangle$ of K -finite sets such that

1. $M \models a \in A_i$ for all $i < \omega$;
2. For each K -finite B such that $a \in B$, there is an $i < \omega$ such that $A_i \subseteq B$.

Theorem (RK, Kotlarski)

For each M , there are K_0, K_1 such that $K_0 \prec_{\text{cof}} M \prec_{\text{cof}} K_1$ and both extensions have the description property.

Question

For a given M is there an N such that $M \prec_{\text{cof}} N$ has the description property and $\text{SSy}(M) = \text{SSy}(N)$?

Question

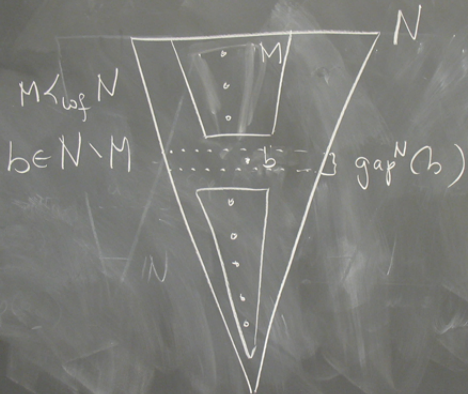
For a given M , is there an N such that for each bounded $A \in \text{Cod}(N/M)$ there is a $b \in M$ such that b codes A ?

ISOLATED GAPS

Definition

If $M \prec_{\text{cof}} N$ and $b \in N \setminus M$, then $\text{gap}^N(b)$ is *non-isolated* if there are $d < \text{gap}(b) < e \in N$ such that $[d, e] \cap M = \emptyset$

NON-ISOLATED GAP



in gaps

ISOLATED GAPS

Theorem (RK, Kotlarski)

Every cofinal extension has non-isolated gaps.

ISOLATED GAPS

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No extension with the description property has isolated gaps.

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Theorem (RK, Kotlarski)

No extension with the description property has isolated gaps.

Question

Are there cofinal extensions with isolated gaps?