Extracting information is hard Computability and effective dimension

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- By sequence we mean infinite binary sequence.

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## Extracting randomness from a biased coin (von Neumann, 1951)

- Consider pairs of coin flips:
- Output 1 if you see HT and 0 if you see TH
- Produce no output for HH or TT
- The resulting sequence is random

### Algorithmic randomness

To move beyond simple examples, we need to make the informal question precise.

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Algorithmic randomness gives answers to questions like:

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Algorithmic randomness gives answers to questions like:

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- What does it mean for a sequence  $A \in 2^{\omega}$  to be *random*?
- What does it mean for a sequence to be *half-random* (i.e., how do we measure information density)?

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What do we mean by description?

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There are not enough short descriptions to go around.

#### What is a description?

Question. What sort of descriptions should we use?

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Think of M as a decompression algorithm.

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Hence, the length of a description is not extra information.

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#### Question. But how should we choose M?

Answer. There is an (essentially) optimal choice.

There is a partial computable prefix-free U:  $2^{<\omega} \rightarrow 2^{<\omega}$  such that if M:  $2^{<\omega} \rightarrow 2^{<\omega}$  is any other partial computable prefix-free function, then

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#### Prefix-free (Kolmogorov) complexity

 $K(\sigma) = K_U(\sigma).$ 

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#### Definition

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Fact. Almost all sequences are Martin-Löf random.

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#### Theorem

 $K(A \upharpoonright n)$  is infinitely often essentially maximal (n+K(n)+O(1)) iff A is 2-random (random relative to  $\emptyset'$ , the halting problem).

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#### Theorem (M,Yu)

Let Z be Martin-Löf random. If  $K(A \upharpoonright n) \leq K(B \upharpoonright n) + O(1)$ and A is Martin-Löf random relative to Z, then B is Martin-Löf random relative to Z.

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So, a sequence of effective dimension 1/2 is guaranteed to have (almost) n/2 bits of information in the first n bits, for all n.

But it can have much more for some n.

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- Clearly, all Martin-Löf random sequences have effective dimension 1.
- It is easy to construct a counterexample to the converse.
- The sequences in Examples 1 and 2 (with the right bias) have effective dimension 1/2.

## Formalizing the main question

• If 0 < dim(A) < 1, does A compute a sequence of higher effective dimension?

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**Question.** What is special about the sequences we saw in Examples 1 and 2?

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The answer to both will be no.

**Question.** What is special about the sequences we saw in Examples 1 and 2?

**Partial answer.** The information they contain is spread out fairly regularly.

# $A \in 2^{\omega} \text{ has effective strong dimension}$ $\underline{\mathsf{Dim}(A)} = \limsup_{n \to \infty} \frac{\mathsf{K}(A \upharpoonright n)}{n}.$

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- Effective strong dimension is the effective analogue of packing dimension (Athreya, Hitchcock, Lutz and Mayordomo, 2004).
- If A ∈ 2<sup>ω</sup> is from Examples 1 or 2 (i.e., obtained through dilution or from a biased coin), then dim(A) = Dim(A).

## A partial (positive) result

#### Theorem (Bienvenu, Doty and Stephan, 2007)

If  $\varepsilon > 0$  and Dim(A) > 0, then A computes a set B such that  $dim(B) \ge dim(A) / Dim(A) - \varepsilon$ .

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#### **Open Question**

Is there a sequence  $A \in 2^{\omega}$  such that  $\dim(A) = Dim(A) = 1/2$  but A does not compute a sequence of dimension 1?

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It follows from one of our results that A need not compute a Martin-Löf random sequence.

Another positive one:

#### Theorem (Zimond, 2007)

If A,  $B \in 2^{\omega}$  have positive effective dimension and are *sufficiently independent*, then together they compute a sequence of effective dimension 1.

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On the negative side:

Theorem (Nies, Reimann; Bienvenu, Doty and Stephan, 2007)

There is no single algorithm that, given a sequence of effective dimension 1/2, extracts a sequence of higher dimension.

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On the negative side:

Theorem (Nies, Reimann; Bienvenu, Doty and Stephan, 2007)

There is no single algorithm that, given a sequence of effective dimension 1/2, extracts a sequence of higher dimension.

Perhaps the algorithm simply needs extra information, such as the strong dimension.

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**Note.** By the Bienvenu, Doty, Stephan result, Dim(A) = 1.

### Optimal covers

#### Definition

### Let $S \subseteq 2^{<\omega}$ . The *direct weight* of S is $\mathsf{DW}(S) = \sum_{\sigma \in S} 2^{-|\sigma|/2}.$

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If S is c.e., then S<sup>oc</sup> is clearly  $\Delta_2^0$ . More importantly, [S<sup>oc</sup>] is a  $\Sigma_1^0$  class.

## The forcing conditions

Our conditions are pairs  $\langle \sigma,S\rangle$  such that

• 
$$\sigma \in 2^{<\omega}$$
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The most important property conditions have:

Lemma

Let  $\langle \sigma, S \rangle$  be a condition. Then dim $(\mu(P_{\langle \sigma, S \rangle})) \leq 1/2$ .

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We reduced the problem to (the proof of) the following result:

Theorem (Kumabe, 1996; Kumabe, Lewis)

There is a DNC function of minimal Turing degree.

A function  $f: \omega \to \omega$  is *DNC* if f(e) is <u>not</u> the output of the eth program (on input *e*), for all *e*.

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But what can you do with a DNC function?

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... but not much. Might not compute a sequence with positive dimension (Ambos-Spies, Kjos-Hanssen, Lempp and Slaman).

## Definition

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Such functions also compute Martin-Löf random sequences, so they cannot have minimal Turing degree.

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... to get a sequence with dimension 1 and minimal degree.

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It is open whether 3-DNC functions compute Martin-Löf random sequences *uniformly*.

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Note: Kurtz proved that (even the upward closure of) this class has measure 0.

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## **Open Question**

Is there a sequence  $A \in 2^{\omega}$  of minimal degree such that  $0 < \dim(A) < 1$  and A does not compute a sequence of higher effective dimension (or at least, does not compute sequences of dimension arbitrarily close to 1)?

Thank You